

## Course Outline

**Code: FIN310**

**Title: Investment Analysis**

<b>School:</b>	Business
<b>Teaching Session:</b>	Semester 1
<b>Year:</b>	2020
<b>Course Coordinator:</b>	Dr Gabrielle Parle
<b>Course Moderator:</b>	Dr Peter Baxter

Please go to the USC website for up to date information on the teaching sessions and campuses where this course is usually offered.

### **1. What is this course about?**

#### **1.1 Description**

This course exposes you to the interesting world of direct and indirect investment including securities; managed funds; derivatives and other investments. You will develop your skills in applying investment and portfolio management theories in the construction of portfolios. The effect of foreign exchange risk and taxation are also considered in an attempt to construct an efficient portfolio that best meets the needs of investors.

#### **1.2 Field trips, WIL placements or activities required by professional accreditation**

N/A

### **2. What level is this course?**

300 level Graduate - Independent application of graduate knowledge and skills. Meets AQF and professional requirements. May require pre-requisites and developing level knowledge/skills. Normally taken in the 3rd or 4th year of an undergraduate program.

### **3. What is the unit value of this course?**

12 units

#### 4. How does this course contribute to my learning?

<b>Specific Learning Outcomes</b> On successful completion of this course, you should be able to:	<b>Assessment tasks</b> You will be assessed on the learning outcomes in task/s:	<b>Graduate Qualities or Professional Standards mapping</b> Completing these tasks successfully will contribute to:
Use analytical thinking to identify, evaluate and solve problems in areas of financial planning.	2	Critical and Creative thinking
Exhibit initiative and apply innovation and strategic thinking to make decisions in a professional context.	2	Career ready
Work effectively with teams from diverse backgrounds, display effective leadership behaviours and effectively communicate to a range of stakeholders.	2	Collaboration (Engaged)
Interpret and analyse financial information and apply relevant concepts to suggest innovative solutions.	1 and 3	Creative and critical thinkers Career ready (Knowledgeable)

#### 5. Am I eligible to enrol in this course?

Refer to the [USC Glossary of terms](#) for definitions of “pre-requisites, co-requisites and anti-requisites”.

##### 5.1 Enrolment restrictions

Nil

##### 5.2 Pre-requisites

ACC211

##### 5.3 Co-requisites

Nil

##### 5.4 Anti-requisites

Nil

##### 5.5 Specific assumed prior knowledge and skills (where applicable)

N/A

#### 6. How am I going to be assessed?

##### 6.1 Grading scale

Standard – High Distinction (HD), Distinction (DN), Credit (CR), Pass (PS), Fail (FL)

##### 6.2 Details of early feedback on progress

A formative on-line quiz will be made available to students to provide them with early feedback on their progress in week 2

### 6.3 Assessment tasks

Task No.	Assessment Product	Individual or Group	Weighting %	What is the duration / length?	When should I submit?	Where should I submit it?
1	Quiz/zes	Individual	20%	1 hour	Week 5 – during the first half of the class	In Class
2	Oral, and Written Piece	Group	30%	Maximum 2,500 words	Week 11, Monday 5.00 pm (AEST)	Online Assignment Submission with Plagiarism check
3	Examination	Individual	50%	2 hours	Central examination period	Exam Venue
			100%			

#### Assessment Task 1: Multiple choice test

<b>Goal:</b>	Demonstrate and apply knowledge of the theory surrounding investments, investment market transactions, investment risk and return.
<b>Product:</b>	Examination
<b>Format:</b>	Individual exam – 1-hour duration based on 30 multiple choice questions.
<b>Criteria:</b>	Demonstrate knowledge of investment theory including conducting relevant calculations based on work covered up to and including week 4.

#### Assessment Task 2: Group report

<b>Goal:</b>	Collaborate in a team to solve a real-life investment problem.
<b>Product:</b>	Report
<b>Format:</b>	<p>This is a group assessment. Prepare a formal written report in groups of 3-4 students with individuals to give a brief oral presentation based on the report.</p> <p>This task is being used for measuring assurance of learning towards Association to Advance Collegiate Schools of Business (AACSB) accreditation. The following Program Learning Objectives will be assessed:</p> <p>Program Learning Objective 1.2 - Demonstrate effective oral communication skills in a business context.</p> <p>Program Learning Objective 2.1 – Demonstrate an understanding of effectively managing and working in teams in a business context</p> <p>Details are provided in the assessment area in Blackboard.</p>
<b>Criteria:</b>	<p>PLO 1.2</p> <ul style="list-style-type: none"> <li>• Engagement and response to the audience</li> <li>• Structure of the presentation</li> <li>• Clarity, logic and flow of arguments presented</li> <li>• Depth and breadth of content.</li> <li>• Use of appropriate verbal and non-verbal communication (posture, gesture, eye contact, and vocal expressiveness).</li> <li>• Use of appropriate supporting materials (visual aids, explanations, examples, statistics, analogies, quotations)</li> <li>• Use of effective time management</li> </ul> <p>PLO 2.1</p> <ul style="list-style-type: none"> <li>• Knowledge and application of effective group processes</li> <li>• Use of interpersonal skills when engaging with team member</li> <li>• Acknowledgement and facilitation of strengths and skills of team members</li> <li>• Individual contribution to the group task</li> </ul>

### Assessment Task 3: Final examination

<b>Goal:</b>	Demonstrate knowledge and application of investment management.
<b>Product:</b>	Examination
<b>Format:</b>	This is an individual assessment. A two-hour closed-book exam consisting of calculations and short answers on content addressed throughout the course. Details will be provided via Blackboard and in the first lecture.
<b>Criteria:</b>	<ul style="list-style-type: none"> <li>•demonstrate knowledge and application of investment management theory.</li> <li>•Accuracy of interpretation and analysis of financial information.</li> </ul>

## 7. Directed study hours

The directed study hours listed here are a portion of the workload for this course. A 12 unit course will have total of 150 learning hours which will include directed study hours (including online if required), self-directed learning and completion of assessable tasks. Directed study hours may vary by location. Student workload is calculated at 12.5 learning hours per one unit.

Location:	Directed study hours for location:
Sippy Downs	Lecture (2 hours) Tutorial (1 hour)

## 8. What resources do I need to undertake this course?

Please note that course information, including specific information of recommended readings, learning activities, resources, weekly readings, etc. are available on the course Blackboard site. Please log in as soon as possible.

### 8.1 Prescribed text(s) or course reader

Please note that you need to have regular access to the resource(s) listed below as they are required:

Author	Year	Title	Publisher
Lawrence J. Gitman, Michael D. Joehnk, and Scott B. Smart.	2016, 13th ed.	<i>Fundamentals of Investing</i>	Pearson
<b>Required and recommended readings</b>			
Texts from pre-requisite courses ATO website ASX website CCH Library resources			

### 8.2 Specific requirements

Scientific calculator.

## 9. How are risks managed in this course?

Health and safety risks for this course have been assessed as low.

It is your responsibility as a student to review course material, search online, discuss with lecturers and peers, and understand the health and safety risks associated with your specific course of study. It is also your responsibility to familiarise yourself with the University's general health and safety principles by reviewing the [online Health Safety and Wellbeing training module for students](#), and following the instructions of the University staff.

## **10. What administrative information is relevant to this course?**

### **10.1 Assessment: Academic Integrity**

Academic integrity is the ethical standard of university participation. It ensures that students graduate as a result of proving they are competent in their discipline. This is integral in maintaining the value of academic qualifications. Each industry has expectations and standards of the skills and knowledge within that discipline and these are reflected in assessment.

Academic integrity means that you do not engage in any activity that is considered to be academic fraud; including plagiarism, collusion or outsourcing any part of any assessment item to any other person. You are expected to be honest and ethical by completing all work yourself and indicating in your work which ideas and information were developed by you and which were taken from others. You cannot provide your assessment work to others. You are also expected to provide evidence of wide and critical reading, usually by using appropriate academic references.

In order to minimise incidents of academic fraud, this course may require that some of its assessment tasks, when submitted to Blackboard, are electronically checked through SafeAssign. This software allows for text comparisons to be made between your submitted assessment item and all other work that SafeAssign has access to.

### **10.2 Assessment: Additional requirements**

#### **Eligibility for Supplementary Assessment**

Your eligibility for supplementary assessment in a course is dependent of the following conditions applying:

- a) The final mark is in the percentage range 47% to 49.4%
- b) The course is graded using the Standard Grading scale
- c) You have not failed an assessment task in the course due to academic misconduct

### **10.3 Assessment: Submission penalties**

Late submission of assessment tasks will be penalised at the following maximum rate:

- 5% (of the assessment task's identified value) per day for the first two days from the date identified as the due date for the assessment task.
- 10% (of the assessment task's identified value) for the third day
- 20% (of the assessment task's identified value) for the fourth day and subsequent days up to and including seven days from the date identified as the due date for the assessment task.
- A result of zero is awarded for an assessment task submitted after seven days from the date identified as the due date for the assessment task.

Weekdays and weekends are included in the calculation of days late.

To request an extension, you must contact your Course Coordinator and supply the required documentation to negotiate an outcome.

### **10.4 Study help**

In the first instance, you should contact your tutor, then the Course Coordinator. Additional assistance is provided to all students through Academic Skills Advisers. To book an appointment or find a drop-in session go to [Student Hub](#).

Contact Student Central for further assistance: +61 7 5430 2890 or [studentcentral@usc.edu.au](mailto:studentcentral@usc.edu.au)

### **10.5 Wellbeing Services**

Student Wellbeing Support Staff are available to assist on a wide range of personal, academic, social and psychological matters to foster positive mental health and wellbeing for your success. Student Wellbeing is comprised of professionally qualified staff in counselling, health and disability Services.

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Ability Advisers ensure equal access to all aspects of university life. If your studies are affected by a disability, mental health issue, learning disorder, injury or illness, or you are a primary carer for someone with a disability, [AccessAbility Services](#) can provide assistance, advocacy and reasonable academic adjustments.

To book an appointment with either service go to [Student Hub](#), email [studentwellbeing@usc.edu.au](mailto:studentwellbeing@usc.edu.au) or [accessability@usc.edu.au](mailto:accessability@usc.edu.au) or call 07 5430 1226

### 10.6 Links to relevant University policy and procedures

For more information on Academic Learning & Teaching categories including:

- Assessment: Courses and Coursework Programs
- Review of Assessment and Final Grades
- Supplementary Assessment
- Administration of Central Examinations
- Deferred Examinations
- Student Academic Misconduct
- Students with a Disability

Visit the USC website:

<http://www.usc.edu.au/explore/policies-and-procedures#academic-learning-and-teaching>

### 10.7 General Enquiries

In person:

- **USC Sunshine Coast** - Student Central, Ground Floor, Building C, 90 Sippy Downs Drive, Sippy Downs
- **USC Moreton Bay** – Service Centre, Ground Floor, Foundation Building, Gympie Road, Petrie
- **USC SouthBank** - Student Central, Building A4 (SW1), 52 Merivale Street, South Brisbane
- **USC Gympie** - Student Central, 71 Cartwright Road, Gympie
- **USC Fraser Coast** - Student Central, Student Central, Building A, 161 Old Maryborough Rd, Hervey Bay
- **USC Caboolture** - Student Central, Level 1 Building J, Cnr Manley and Tallon Street, Caboolture

Tel: +61 7 5430 2890

Email: [studentcentral@usc.edu.au](mailto:studentcentral@usc.edu.au)

### Appendix 1 Course content

Week # / Module #	What key concepts/content will I learn?	Directed Study Activities: teaching components
1	The Investment environment	Lecture Digital Content
2	Securities Markets and Transactions; Investment Information and Securities Transactions	Lecture Tutorial
3	Return and Risk	Lecture Tutorial
4	Modern Portfolio Concepts	Lecture Tutorial
5	Share Investments, Analytical Dimensions of Share Selection, Share Valuation and Investment Decisions	Lecture (Assessment Task 1)
6	Market Efficiency	Lecture Tutorial

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7	Fixed-Income Securities and Bond Valuation	Lecture Tutorial
8	Options: Puts and Calls	Lecture Tutorial
9	Futures Markets and Securities	Lecture Tutorial
10	Real Estate and Other Tangible Investments	Lecture Tutorial
11	Mutual Funds and Exchange-Traded Funds	Lecture Tutorial
12	Managing Your Own Portfolio	Lecture Tutorial
13	Course Review and/or Individual Consultations	Lecture Digital Content

Please note that the course activities may be subject to variation.

### **Mid Semester Break:**

13<sup>th</sup> April 2020-19<sup>th</sup> April 2020 (Between Week 7 and Week 8)

### **Public Holidays**

Good Friday- Friday 10<sup>th</sup> April 2020 (Week 7)

Labour Day - Monday 4<sup>th</sup> May 2020 (Week 10)